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Attorney's Docket No: C1039.70083US07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur M. Krieg et al.
Serial No.: 10/789,353
Confirmation No.: 9688
Filed: February 26, 2004
For: IMMUNOMODULATORY OLIGONUCLEOTIDES

Examiner: Not yet assigned
Art Unit: 1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 23 day of April, 2004.

Helen C. Lockhart

Office of Initial Patent Examination's
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TRANSMITTAL

Sir:

Transmitted herewith are the following document(s):

- ☒ Request for Correction of Filing Receipt;
- ☒ Copy of Filing Receipt with corrections in red ink;
- ☒ Copy of previously submitted Application Data Sheet, Postcard and Express Mail Label;
- ☒ Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel: (617) 720-3500

Attorney Docket No.: C1039.70083US07
Date: April 23 2004
xNDDx



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Helen C. Lockhart

Office of Initial Patent Examination's
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REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

Applicants request that the following information be corrected on the Filing Receipt dated April 15, 2004:

Please correct under the heading "**Domestic Priority data as claimed by applicant**", the following:

This application is a CON of 09/415,142 10/08/1999 to

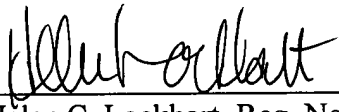
This application is a CON of 09/415,142 10/09/1999

The Filing receipt indicates that the "data provided by applicant is not consistent with PTO records". However, after reviewing the Application Data Sheet originally filed with the application (copy provided), we have determined that the correct information was provided and that the error, in fact, is on part of the PTO.

Applicants respectfully request that the above-noted change be made, as also appearing in red on the attached Filing Receipt.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 23-2825. A duplicate of this sheet is enclosed. If you have any questions, please do not hesitate to call the undersigned at (617) 720-3500.

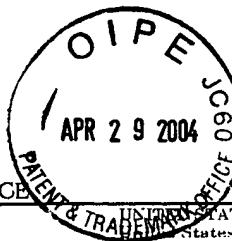
Respectfully submitted,

By: 
Helen C. Lockhart, Reg. No. 39,248
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Boston, Massachusetts 02210
Telephone: (617) 720-3500

Docket No.: C1039.70083US07
Date: April 23, 2004



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10/789,353	02/26/2004	1632	770	C1039.70083US07		9	1

CONFIRMATION NO. 9688

Helen C. Lockhart, Ph.D.
Wolf, Greenfield & Sacks, P.C.
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Boston, MA 02210

FILING RECEIPT



OC000000012356019

Date Mailed: 04/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Arthur M. Krieg, Wellesley, MA;
Dennis Klinman, Potomac, MD;
Alfred D. Steinberg, Potomac, MD;

Confirmation	Initials
Docketing	<i>[Signature]</i>
02/26/05(2)-ape	
05/26/05 ✓	
05/15/04 ✓	

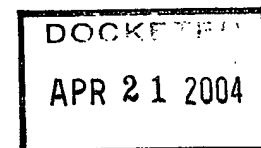
HK

Assignment For Published Patent Application

University of Iowa Research Foundation, Iowa City, IA;
Coley Pharmaceutical Group, Inc., Wellesley, MA;
The U.S.A., as represented by the Secretary, Department of Health and Human Services,
Bethesda, MD;

Domestic Priority data as claimed by applicant

This application is a CON of 10/690,495 10/21/2003
which is a CON of 09/415,142 (10/08/1999) 10/09/99
which is a DIV of 08/386,063 02/07/1995 PAT 6,194,388
which is a CIP of 08/276,358 07/15/1994 ABN
(*)Data provided by applicant is not consistent with PTO records.



Foreign Applications

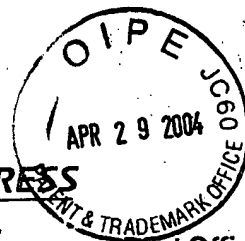
If Required, Foreign Filing License Granted: 04/14/2004

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No



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OF

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Patricia D. O'Brien
AUTHORIZED SIGNATURE

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Serial No. TBD File No. C103970083US07 By: HCL:TMF

Title: IMMUNOMODULATORY OLIGO NUCLEOTIDES

Application of KRIEG ET AL WGS Date: NDD

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

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| <input checked="" type="checkbox"/> Mailing by Express Mail (37 CFR 1.10) | <input type="checkbox"/> Provisional Application Cover Sheet |
| Express Mail Label No. <u>EU292460244</u> | Multiple Dependent Claim Fee Sheet |
| <input checked="" type="checkbox"/> Patent Application | Inf. Discl. Statement, PTO Form 1449 |
| <input checked="" type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional | <input type="checkbox"/> References Cited |
| Incl. <u>42</u> pages, (<u>3</u> pgs) Specification | Priority Document(s) # |
| (<u>1</u> pgs) Abstract, (<u>3</u> pgs) Claims (<u>30</u> # claims) | Copy of Notice to File Missing Parts |
| <input checked="" type="checkbox"/> Design Patent Application | Amendment/Response <u>PRELIMINARY</u> |
| Declaration(s) <u>PREVIOUSLY FILED</u> | Petition for Ext. of Time (x2) |
| Drawings <u>1</u> Sheet(s) (FIGS. <u>1</u>) | Issue Fee Transmittal |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal | Assignment and Coversheet |
| <input checked="" type="checkbox"/> Utility Patent Application Transmittal | Notice of Appeal |
| Fee calculation sheet (x2) | Brief (x3) |
| CPA Transmittal | Check for \$ <u>930</u> <u>18681</u> Check # |
| Verified Statement claiming small entity status | Transmittal Letter (x2) |
| Request for Approval and Entry of Formal Drawings | Cert. of Mailing under 37 CFR 1.8(a) |

☒ Other APPLICATION DATA SHEET, SEQUENCE LISTING PAPER
9 CFR, STATEMENT UNDER 37 CFR 1.821 (F)

DATE MAILED FEBRUARY 26, 2004



Attorney Docket No. C1039.70083US07
Express Mail Label No. EV 292460244 US
Date of Deposit: February 26, 2004

APPLICATION DATA SHEET FORM

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Application Information

Title Line One::	IMMUNOMODULATORY
Title Line Two::	OLIGONUCLEOTIDES
Claims::	9
Application Type::	Utility
Docket Number::	C1039.70083US07

Licensed US Govt. Agency::	National Institute of Health
Contract or Grant Numbers One::	R29-AR42556-01

Representative Information

Representative Customer Number::	23628
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Continuity Information

This application is a continuation of:

>Application One:: 10/690,495
Filing Date:: October 21, 2003

which is a continuation of::

>Application Two:: 09/415,142
Filing Date:: October 9, 1999

which is a divisional of::

>>Application Three:: 08/386,063
Filing Date:: February 7, 1995
Patent Number:: 6,194,388 B1

which claims priority to a continuation-in-part:

>>Application Four:: 08/276,358
Filing Date:: July 15, 1994

which is abandoned.

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